

City of Fairfield Transit

Federal Transit Administration (FTA)

DBE Goal Setting Methodology

Federal Fiscal Years 2021 – 2023

I. INTRODUCTION

The City of Fairfield, California herein provides the Disadvantaged Business Enterprise (DBE) Goal and the federally prescribed goal-setting methodology, pursuant to Title 49 of the Code of Federal Regulations Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs.”

The City of Fairfield submits our annual overall goal information (and any needed updates of our DBE program) for your review and comment. We propose an annual overall DBE goal of 0.5% for the Federal Fiscal Year 2021 to 2023, beginning on October 1, 2020 and ending on September 30, 2023.

FTA DBE Goal for FFY 2021-2023

The amount of overall goal, methodology, breakout of estimated race-neutral and race-conscious participation, and any DBE program updates are presented herein in accordance with Title 49 of the Code of Federal Regulations Part 26.

Methodology

In preparation for determining the FTA DBE goal for Federal Fiscal Year 2021 to 2023, the DBELO reviewed bid data from City of Fairfield federally funded capital projects for three fiscal years. Based on this information, the DBELO concluded that the City of Fairfield market area consists of 12 counties: Alameda, Contra Costa, Marin, Napa, Sacramento, San Francisco, San Joaquin, San Mateo, Santa Clara, Solano, Sonoma, and Yolo. (For the purpose of determining the DBE goals, a county was included as part of the market area if the City had received four or more bids from a firm located in that county during the three year period reviewed).

[Note: During this period, contractors and building exchanges solicited DBE firms outside the 12 county market area].

STEP 1: Determining the Base Figure

The base figure is a percentage figure calculated by dividing a number representing available DBE firms by a number representing all available firms in the market area.

With assistance from engineers and project managers, the DBELO first determined what "North American Industry Classification System" codes (NAICS), as listed in the California Unified Certification Program database, applied to the City's projects and what portion of the work was represented by each applicable NAICS.

Using the CUCP database, the DBELO then obtained the number of DBE firms in each category that were in Fairfield's market area. Similarly, the DBELO obtained counts of firms in each trade in our market area from the US Census Bureau County Business Patterns (CBP) database

from 2018. From this information, we were able to calculate the percentage of DBE firms in our market area by trade.

Combining the two parts of information obtained, the DBELO prepared the attached FTA Planned Expenditures and the Combined 2021 to 2023 Fairfield Base Figure Worksheet showing the breakdown of work in our FY 2021 to FY 2023 Award expenditure plan. This sheets show each anticipated contract for work, the amount of federal funding going to the contract, a breakdown of the categories of work and the percentage of the total project going into each category of work. The FTA Goal comes out to 2.0%.

This three-year window is not expected to have any capital improvements in FTA funded projects.

Planned Awards for Operations expenses, with contributions of FTA fund are anticipated at \$2,393,035 for our fuel contract.

Using the total expenditures Awards for the 3-Year window is \$2,393,035 and applying the weighted base figures to each component of work, the Rounded Weighted Base Figure is equal to 2.0%. The DBE expenditures should total \$47,861 to reach our calculated goal.

Note: We used the CUCP database from December 2020. The Census (County Business Patterns) figures were taken from the census database using 2018 figures. These are the most current data sources for the 2021-2023 goal deadline.

Step 2: Adjusting the Base Figure to reflect past experience and other factors.

The difference between the operations expenditures are severely affected by changes in the California UCP in the last few years. Transit operations and fuel providers have diminished dramatically in the DBE listings of available firms. With the fluctuations we have seen in the years (ranging from 0.3% to 5.4%) and the lack of capital expenditures, 2.0% seems to be an accurate goal for available DBEs for U.S. DOT-assisted contracts as we proceed into the fiscal years in this analysis. Race-Neutral portion of the goal is 2.0%. Race-Conscious portion of the goal is 0%.

DBE Program Updates

There are no changes to the DBE Program. We are implementing monitoring changes that were recommended after our Triennial Review of 2019.

Andrew K. Walker
DBE Liaison Officer
March 26, 2021

FTA Planned Expenditures
Federal Fiscal Year 2021, 2022, 2023

Capital Projects		2021	2022	2023	3 Yr Totals	Beyond 2023
	451-76-116 Corpyard Electrification Project (No FTA)	-			-	3,257,541
	FFVV Train Station Building Design (No FTA)				-	359,000
	Bus Purchases (Electric)	-	-	-	-	
Operations 76001 to 76999		2021	2022	2023		
	MV Contract w/ Insurance		2% Growth	2% Growth		
	451-76-001-8936 Fixed Route Subcontracted Service				-	485113 Bus & Other Vehicle Transit Systems
	452-76-001-8936 DART Subcontracted Service				-	
	451-76-003-9002 Fixed Route Insurance Premium				-	524126 Direct Property and Casualty Insurance
	452-76-003-9002 DART Insurance Premium				-	
	Fuel		3% Growth	3% Growth		
	451-76-003-8312 Fixed Route		2,393,035		2,393,035	2,393,034.50
	451-76-003-8314 Fixed Route					424720 Petroleum
	452-76-003-8312 DART			-	-	
	452-76-003-8314 DART					
Combined Operations Expenditures		-	2,393,035	-	2,393,035	
					2,393,035	Operations & Capital

Step 1 A - Determine the weight of each type of work by NAICS Code:

All reasonably anticipated FTA-assisted contracting opportunities are identified as:

OPERATIONS - Fixed Route

	NAICS Code	Description of Work	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	424720	Petroleum	\$2,393,035.00	1.0000
2)				0.0000
3)				0.0000
4)				0.0000
5)				0.0000
6)				0.0000
7)				0.0000
8)				0.0000
9)				0.0000
10)				0.0000
Total FTA-Assisted Contract			\$2,393,035.00	1

Step 1 B - Determine the relative availability of DBE's by NAICS Code:

The numbers of DBEs and of all firms available to perform work on the identified contracting opportunities are:

OPERATIONS - Fixed Route

	NAICS Code	Description of Work	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
1)	424720	Petroleum	1	49	0.0204
2)					
3)					
10)	0	0			
Combined Totals			1	49	0.0204

Overall availability of DBEs

Step 1 C - (Weight) x (Availability) = Weighted Base Figure

OPERATIONS - Fixed Route

NAICS Code	Description of Work	Weight	x	Availability of DBEs	Weighted Base Figure

1)	424720	Petroleum	1.00000	x	0.02041	0.0204
2)			0.00000	x	0.00000	
3)			0.00000	x	0.00000	
4)	0	0	0.00000	x	0.00000	
5)	0	0	0.00000	x	0.00000	
6)	0	0	0.00000	x	0.00000	
7)	0	0	0.00000	x	0.00000	
8)	0	0	0.00000	x	0.00000	
9)	0	0	0.00000	x	0.00000	
10)	0	0	0.00000	x	0.00000	
					Total	0.0204
					Expressed as a % (*100)	2.04%
					Rounded, Weighted Base Figure:	2.0%

2,393,035	2.0%	47,861 Operations
-	13.6%	- Capital
2,393,035	2.0%	47,861